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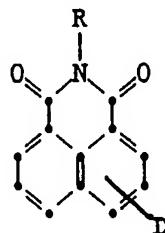
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(54) Thermally-transferable fluorescent compounds.

(55) A donor element for thermal transfer comprising a support having on one side thereof a fluorescent derivative of a 1,8-naphthalimide compound dispersed in a polymeric binder, and on the other side thereof a slipping layer comprising a lubricant. In a preferred embodiment, the compound has the formula:



wherein: R is hydrogen; a substituted or unsubstituted alkyl group of 1 to 6 carbon atoms; or a carbocyclic or heterocyclic ring of 5 to 10 atoms; and  
D is a monovalent, nonionic, nonquenching moiety.

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## THERMALLY-TRANSFERABLE FLUORESCENT COMPOUNDS

This invention relates to fluorescent donor elements used in thermal transfer.

In recent years, thermal transfer systems have been developed to obtain prints from pictures which have been generated electronically from a color video camera. According to one way of obtaining such prints, an electronic picture is first subjected to color separation by color filters. The respective color-separated images are then converted into electrical signals. These signals are then operated on to produce cyan, magenta and yellow electrical signals. These signals are then transmitted to a thermal printer. To obtain the print, a cyan, magenta or yellow dye-donor element is placed face-to-face with a dye-receiving element. The two are then inserted between a thermal printing head and a platen roller. A line-type thermal printing head is used to apply heat from the back of the dye-donor sheet. The thermal printing head has many heating elements and is heated up sequentially in response to the cyan, magenta and yellow signals. The process is then repeated for the other two colors. A color hard copy is thus obtained which corresponds to the original picture viewed on a screen. Further details of this process and an apparatus for carrying it out are contained in U.S. Patent No. 4,621,271.

The system described above has been used to obtain visible dye images. However, for security purposes, to inhibit forgeries or duplication, or to encode confidential information, it would be advantageous to create non-visual ultraviolet absorbing images that fluoresce with visible emission when illuminated with ultraviolet light.

U.S. Patent 4,627,997 discloses a fluorescent thermal transfer recording medium comprising a thermally-meltable, wax ink layer. In that system, the fluorescent material is transferred along with the wax material when it is melted. Wax transfer systems, however, are incapable of providing a continuous tone. Further, the fluorescent materials of that reference are incapable of diffusing by themselves in the absence of the wax matrix. It is an object of this invention to provide fluorescent materials useful in a continuous tone system which have sufficient vapor pressure to transfer or diffuse by themselves from a donor element to a dye-receiver.

These and other objects are achieved in accordance with this invention which comprises a donor element for thermal transfer comprising a support having on one side thereof a fluorescent derivative of a 1,8-naphthalimide compound dispersed in a polymeric binder, and on the other side thereof a slipping layer comprising a lubricant.

In a preferred embodiment of the invention, the compound has the formula:

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35

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wherein: R is hydrogen; a substituted or unsubstituted alkyl group of 1 to 6 carbon atoms, such as methyl, ethyl, methoxyethyl, etc.; or a carbocyclic or heterocyclic ring of 5 to 10 atoms, such as methyl, ethyl, isopropyl, methoxyethyl, benzyl, phenyl, and 2-pyridyl; and

D is a monovalent, nonionic, nonquenching moiety such as methoxy, ethoxy, isopropoxy, chloro, amino, N-methylamino, N,N-dimethylamino, and N-ethylamino.

The term "nonquenching" as used herein is meant to indicate that the moiety does not inhibit the inherent fluorescence of the compound.

In a preferred embodiment of the invention, R is hydrogen, methyl or ethyl. In another preferred embodiment of the invention, D is methoxy, chloro or amino.

Compounds included within the scope of the invention include the following:

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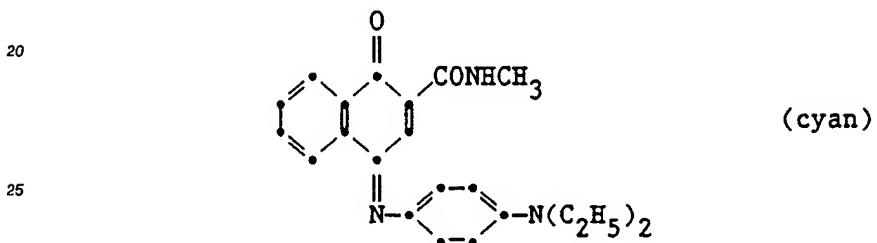
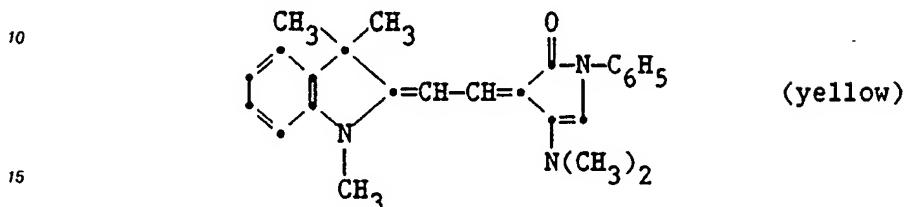
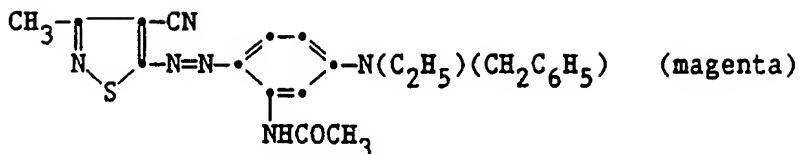
O=C1C=CC=C1N(R)C(=O)C2=C1C=C2D

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Compound	R	D
1	CH <sub>3</sub>	4-OCH <sub>3</sub>
15	C <sub>2</sub> H <sub>5</sub>	4,5-Cl
20	C <sub>2</sub> H <sub>5</sub>	3-NH <sub>2</sub>
25	H	4-NH <sub>2</sub>
30	n-C <sub>4</sub> H <sub>9</sub>	4(-OCH <sub>3</sub> )
35	C <sub>6</sub> H <sub>5</sub>	4-(OCH <sub>3</sub> )
40	H	4-(OCH <sub>3</sub> )
45	CH <sub>2</sub> CH <sub>2</sub> OCH <sub>3</sub>	4-(-OCH <sub>3</sub> )
50	9	
55	10	
60	11	4,5-(OCH <sub>3</sub> )
65	12	4-(N(CH <sub>3</sub> ) <sub>2</sub> )
70	13	4-(OC <sub>2</sub> H <sub>5</sub> ), 5-Cl

50 The above compounds may be prepared by dehydration of the appropriate 1,8-naphthalene dicarboxylic acid with a primary amine.

A visible dye can also be used in a separate area of the donor element of the invention provided it is transferable to the dye-receiving layer by the action of heat. Especially good results have been obtained with sublimable dyes such as



30 or any of the dyes disclosed in U.S. Patent 4,541,830. The above dyes may be employed singly or in combination to obtain a monochrome. The dyes may be used at a coverage of from 0.05 to 1 g/m<sup>2</sup> and are preferably hydrophobic.

35 The fluorescent material in the donor element of the invention is dispersed in a polymeric binder such as a cellulose derivative, e.g., cellulose acetate hydrogen phthalate, cellulose acetate, cellulose acetate propionate, cellulose acetate butyrate, cellulose triacetate; a polycarbonate; poly(styrene-co-acrylonitrile), a poly(sulfone) or a poly(phenylene oxide). The binder may be used at a coverage of from 0.1 to 5 g/m<sup>2</sup>.

40 The fluorescent material layer of the donor element may be coated on the support or printed thereon by a printing technique such as a gravure process.

45 Any material can be used as the support for the donor element of the invention provided it is dimensionally stable and can withstand the heat of the thermal printing heads. Such materials include polyesters such as poly(ethylene terephthalate); polyamides; polycarbonates; glassine paper; condenser paper; cellulose esters; fluorine polymers; polyethers; polyacetals; polyolefins; and polyimides. The support generally has a thickness of from 2 to 30  $\mu$ m. It may also be coated with a subbing layer, if desired.

50 The reverse side of the donor element is coated with a slipping layer to prevent the printing head from sticking to the donor element. Such a slipping layer would comprise a lubricating material such as a surface active agent, a liquid lubricant, a solid lubricant or mixtures thereof, with or without a polymeric binder. Preferred lubricating materials include oils or semi-crystalline organic solids that melt below 100 °C such as poly(vinyl stearate), beeswax, perfluorinated alkyl ester polyethers, poly(caprolactone), silicone oil, poly(tetrafluoroethylene), carbowax, poly(ethylene glycols), or any of those materials disclosed in U. S. Patents 4,717,711; 4,737,485; 4,738,950; and 4,717,712. Suitable polymeric binders for the slipping layer include poly(vinyl alcohol-co-butylal), poly(vinyl alcohol-co-acetal), poly(styrene), poly(vinyl acetate), cellulose acetate butyrate, cellulose acetate propionate, cellulose acetate or ethyl cellulose.

55 The amount of the lubricating material to be used in the slipping layer depends largely on the type of lubricating material, but is generally in the range of .001 to 2 g/m<sup>2</sup>. If a polymeric binder is employed, the lubricating material is present in the range of 0.1 to 50 weight %, preferably 0.5 to 40, of the polymeric binder employed.

The receiving element that is used with the donor element of the invention usually comprises a support having thereon an image-receiving layer. The support may be a transparent film such as a poly(ether sulfone), a polyimide, a cellulose ester such as cellulose acetate, a poly(vinyl alcohol-co-acetal) or a poly-

(ethylene terephthalate). The support for the receiving element may also be reflective such as baryta-coated paper, polyethylene-coated paper, white polyester (polyester with white pigment incorporated therein), an ivory paper, a condenser paper or a synthetic paper such as duPont Tyvek®.

5 The image-receiving layer may comprise, for example, a polycarbonate, a polyurethane, a polyester, polyvinyl chloride, poly(styrene-co-acrylonitrile), poly(caprolactone) or mixtures thereof. The image-receiving layer may be present in any amount which is effective for the intended purpose. In general, good results have been obtained at a concentration of from 1 to 5 g/m<sup>2</sup>.

10 As noted above, the donor elements of the invention are used to form a transfer image. Such a process comprises imagewise-heating a donor element as described above and transferring a fluorescent material 15 image to a receiving element to form the transfer image.

The donor element of the invention may be used in sheet form or in a continuous roll or ribbon. If a continuous roll or ribbon is employed, it may have only the fluorescent derivative of 1,8-naphthalimide thereon as described above or may have alternating areas of different dyes, such as sublimable magenta and/or yellow and/or cyan and/or black or other dyes. Such dyes are disclosed in U. S. Patents 4,541,830, 15 4,698,651, 4,695,287, 4,701,439, 4,757,046, 4,743,582, and 4,753,922. Thus, one-, two-, three- or four-color 20 elements (or higher numbers also) are included within the scope of the invention.

In a preferred embodiment of the invention, the donor element comprises a poly(ethylene terephthalate) support coated with sequential repeating areas of magenta, yellow, and cyan dye and the fluorescent material as described above, and the above process steps are sequentially performed for each color to 20 obtain a three-color dye transfer image containing a fluorescent image.

Thermal printing heads which can be used to transfer fluorescent material and dye from the donor elements of the invention are available commercially. There can be employed, for example, a Fujitsu Thermal Head (FTP-040 MCS001), a TDK Thermal Head F415 HH7-1089 or a Rohm Thermal Head KE 2008-F3.

25 A thermal transfer assemblage of the invention comprises  
 a) a donor element as described above, and  
 b) a receiving element as described above, the receiving element being in a superposed relationship with the donor element so that the fluorescent material layer of the donor element is in contact with the image-receiving layer of the receiving element.

30 The following example is provided to illustrate the invention.

#### Example

35 A donor element was prepared by coating the following layers in the order recited on a 6  $\mu$ m poly(ethylene terephthalate) support:

1) a subbing layer of duPont Tyzor TBT® titanium tetra-n-butoxide (0.16 g/m<sup>2</sup>) from 1-butanol; and

2) a layer containing the fluorescent material as identified above or control fluorescent material identified below (0.16 g/m<sup>2</sup>) in a cellulose acetate propionate (2.5% acetyl and 45% propionyl) binder (0.32 g/m<sup>2</sup>) coated from a cyclopentanone, toluene and methanol solvent mixture. Where solubility limits were exceeded, excess solid was filtered off before coating. On the back side of the element was coated:

1) a subbing layer of Bostik 7650® (Emhart Corp.) polyester (0.11 g/m<sup>2</sup>) coated from toluene; and

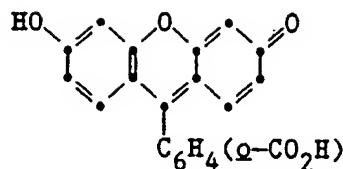
2) a slipping layer of Gafac RA-600® (GAF Corp.) polyoxyethylene partial phosphate ester (0.043 g/m<sup>2</sup>) and BYK-320® (BYK Chemie, USA) polyoxyalkylene methylalkyl siloxane copolymer (0.016 g/m<sup>2</sup>) in a 45 poly(styrene-co-acrylonitrile) binder (70:30 wt. ratio) (0.54 g/m<sup>2</sup>) coated from a toluene and 3-pentanone solvent mixture.

#### Control Materials

50 The following materials are available commercially from Kodak Laboratory Products and Chemicals Division:

## Control 1

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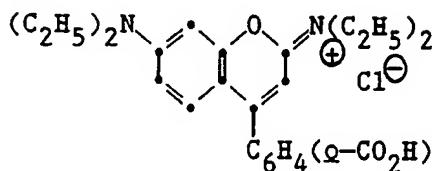


Fluorescein

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## Control 2

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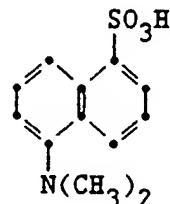


Rhodamine B

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## Control 3

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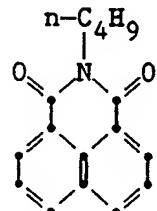


DANS Acid

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## Control 4

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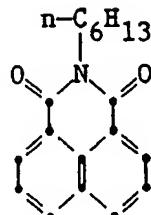
▶

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## Control 5

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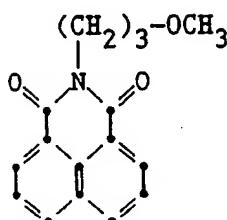


## Control 6

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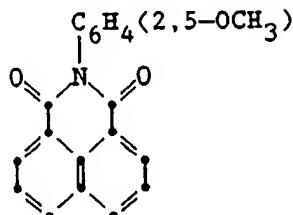
25



## Control 7

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A receiving element was prepared by coating a solution of Makrolon 5705® (Bayer A.G. Corporation) polycarbonate resin (2.9 g/m<sup>2</sup>) in a methylene chloride and trichloroethylene solvent mixture on a transparent 175 µm polyethylene terephthalate support.

The fluorescent material layer side of the donor element strip approximately 3 cm x 15 cm in area was placed in contact with the image-receiving layer of the receiver element of the same area. The assemblage was fastened in the jaws of a stepper motor driven pulling device. The assemblage was laid on top of a 14 mm diameter rubber roller and TDK Thermal Head L-133 (No. 6-2R16-1) and was pressed with a spring at a force of 3.6 kg against the donor element side of the assemblage pushing it against the rubber roller.

The imaging electronics were activated causing the pulling device to draw the assemblage between the printing head and roller at 3.1 mm/sec. Coincidentally, the resistive elements in the thermal print head were pulsed at a per pixel pulse width of 8 msec to generate a maximum density image. The voltage supplied to the print head was approximately 21 v representing approximately 1.6 watts/dot (12 mJoules/dot) for maximum power.

The receiving element was separated from the donor element and the relative emission of the transferred image was evaluated with a spectrofluorimeter using a fixed intensity 360 nm excitation beam and measuring the relative emission. The following results were obtained:

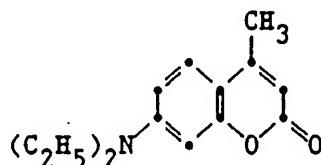
Table

Compound	Relative Emission*	Visual Color
None	—	Not visible
Comparison*	100	Blue
Control 1	—	Not visible
Control 2	—	Not visible
Control 3	—	Not visible
Control 4	—	Not visible
Control 5	—	Not visible
Control 6	—	Not visible
Control 7	—	Not visible
1	77	Blue
2	18	Blue
3	6	Green
4	4	Green-Yellow

— Not determinable

\* Compared to the following compound, normalized to 100:

25



This compound is the subject of E.P. Application Serial No. , filed 1989 and entitled "Thermally-Transferable Fluorescent 7-Aminocoumarins".

35 The above results show that the compounds of the invention have much more fluorescence than the control compounds of the prior art.

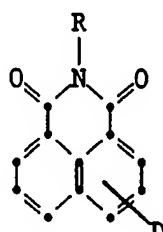
## Claims

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1. A donor element for thermal transfer comprising a support having on one side thereof a fluorescent derivative of a 1,8-naphthalimide compound dispersed in a polymeric binder, and on the other side thereof a slipping layer comprising a lubricant.

2. The element of Claim 1 characterized in that said compound has the formula:

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55 wherein: R is hydrogen; a substituted or unsubstituted alkyl group of 1 to 6 carbon atoms; or a carbocyclic or heterocyclic ring of 5 to 10 atoms; and

D is a monovalent, nonionic, nonquenching moiety.

3. The element of Claim 2 characterized in that R is hydrogen, methyl or ethyl.

4. The element of Claim 3 characterized in that D is methoxy, chloro or amino.

5. The element of Claim 1 characterized in that said donor element comprises sequential repeating areas of magenta, yellow and cyan dye, and said fluorescent compound.

6. A process of forming a transfer image comprising imagewise-heating a donor element comprising a support having on one side thereof a layer comprising a material dispersed in a polymeric binder, and on the other side thereof a slipping layer comprising a lubricant, and transferring an image to a receiving element to form said transfer image, characterized in that said material is a fluorescent derivative of a 1,8-naphthalimide compound.

7. The process of Claim 6 characterized in that said compound has the formula:



wherein: R is hydrogen; a substituted or unsubstituted alkyl group of 1 to 6 carbon atoms; or a carbocyclic or heterocyclic ring of 5 to 10 atoms; and

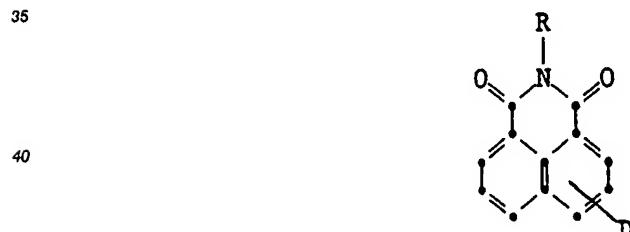
25 D is a monovalent, nonionic, nonquenching moiety.

8. A thermal transfer assemblage comprising:

a) a donor element comprising a support having on one side thereof a layer comprising a material dispersed in a polymeric binder, and on the other side thereof a slipping layer comprising a lubricant, and

30 b) a receiving element comprising a support having thereon an image-receiving layer, said receiving element being in a superposed relationship with said donor element so that said material layer is in contact with said image-receiving layer, characterized in that said material is a fluorescent derivative of a 1,8-naphthalimide compound.

9. The assemblage of Claim 8 characterized in that said compound has the formula:



45 wherein: R is hydrogen; a substituted or unsubstituted alkyl group of 1 to 6 carbon atoms; or a carbocyclic or heterocyclic ring of 5 to 10 atoms; and

D is a monovalent, nonionic, nonquenching moiety.

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DOCUMENTS CONSIDERED TO BE RELEVANT

Category	Citation of document with indication, where appropriate, of relevant passages	Relevant to claim	CLASSIFICATION OF THE APPLICATION (Int. Cl.5)												
A	DE-A-3 606 757 (RICOH CO. LTD) * Claim 6; page 17, lines 20-25; page 18, lines 10-35; page 19, examples 8, 9 * ---	1-9	B 41 M 5/26												
A	CHEMICAL ABSTRACTS, vol. 107, no. 3, 20th July 1987, page 531, abstract no. 31283b, Columbus, Ohio, US; Y. IDE: "Fluorescent thermal-transfer materials", & JP-A-61 213 195 (RICOH CO.) 22-09-1986 -----	1-9													
The present search report has been drawn up for all claims															
<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 33%;">Place of search</td> <td style="width: 33%;">Date of completion of the search</td> <td style="width: 34%;">Examiner</td> </tr> <tr> <td>THE HAGUE</td> <td>19-01-1990</td> <td>BACON, A.J.</td> </tr> </table>				Place of search	Date of completion of the search	Examiner	THE HAGUE	19-01-1990	BACON, A.J.						
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<table border="0" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 33%; text-align: center;">CATEGORY OF CITED DOCUMENTS</td> <td style="width: 33%; text-align: center;">T : theory or principle underlying the invention</td> </tr> <tr> <td>X : particularly relevant if taken alone</td> <td>E : earlier patent document, but published on, or</td> </tr> <tr> <td>Y : particularly relevant if combined with another document of the same category</td> <td>after the filing date</td> </tr> <tr> <td>A : technological background</td> <td>D : document cited in the application</td> </tr> <tr> <td>O : non-written disclosure</td> <td>L : document cited for other reasons</td> </tr> <tr> <td>P : intermediate document</td> <td>&amp; : member of the same patent family, corresponding document</td> </tr> </table>				CATEGORY OF CITED DOCUMENTS	T : theory or principle underlying the invention	X : particularly relevant if taken alone	E : earlier patent document, but published on, or	Y : particularly relevant if combined with another document of the same category	after the filing date	A : technological background	D : document cited in the application	O : non-written disclosure	L : document cited for other reasons	P : intermediate document	& : member of the same patent family, corresponding document
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